

Journal of Nuclear Materials 244 (1997) 36-43



# Measurement of 2000–2100°C oxygen diffusion coefficients in hypostoichiometric $UO_2$

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Received 16 July 1996; accepted 12 November 1996

#### Abstract

The chemical diffusion coefficient of oxygen in  $UO_{2-x}$  has been measured using molten Zircaloy held in a  $UO_2$  crucible to establish the concentration driving force. The oxygen-to-uranium distribution set up by this method was determined by scanning electron microscopy/image analysis of the number density and area fraction of uranium metal precipitates that form when  $UO_{2-x}$  disproportionates upon cooling. Oxygen diffusivities were determined by fitting the numerical solution of Fick's second law to the O/U profiles. The diffusion coefficients are  $(3.3 \pm 0.5) \times 10^{-8}$  m<sup>2</sup>/s at 2000°C and  $(3.6 \pm 0.8) \times 10^{-8}$  m<sup>2</sup>/s at 2100°C.

#### 1. Introduction

Several factors contribute to the inability to resolve the long-standing dispute over the theoretical interpretation of experiments on the dissolution of UO<sub>2</sub> by molten Zircaloy [1–3]. The first is the difficulty of describing mass transport in a three-component system that contains two or more phases. The second is the paucity of equilibrium data at the temperatures of the experiments. Most analyses rely on an early measurement of the liquidus on the U–Zr–O ternary section at 2000°C. Third, transport properties of the system, particularly diffusion coefficients, are poorly-known. In particular, the chemical diffusion coefficient of oxygen in UO<sub>2-x</sub> ( $D_0$ ) affects the rate at which oxygen is added to the liquid from the solid oxide, and thereby affects fuel solubility in the melt.

A lower-bound estimate of  $D_0$  at 2000°C of  $3 \times 10^{-8}$  m<sup>2</sup>/s was provided by Kim and Olander [4]. This estimate was based on the absence of an O/U gradient in the walls of the crucibles used in the dissolution tests. Bayoglu and Lorenzelli's measurements of the oxygen chemical diffu-

sivity in the mixed oxide (U, Pu)O<sub>2-x</sub> up to 1400°C [5] give  $D_0 = 1.4 \times 10^{-8} \text{ m}^2/\text{s}$  when extrapolated to 2000°C. From Matzke's [6] review,  $D_0$  in UO<sub>2+x</sub> lies in the range  $1 \times 10^{-8}$  to  $8 \times 10 \text{ m}^2/\text{s}$  at 2000°C. However, owing to the very different atomic mechanisms of oxygen migration in hypo-and hyperstoichiometric urania (i.e., vacancy versus interstitial mechanisms), estimates of  $D_0$  in UO<sub>2+x</sub> cannot be safely applied to UO<sub>2-x</sub>.

The only experimental measurement of oxygen mobility in  $UO_{2-x}$  is the tracer-diffusivity experiment of Kim and Olander [7]. These have been converted to chemical diffusivities using the Darken relationship [8], leading to  $D_0 \sim 3 \times 10^9 \text{ m}^2/\text{s}$  at 2000°C. This value is significantly lower than the estimates cited previously. Given the importance of  $D_0$  in the modeling of  $UO_2$  dissolution in molten Zircaloy(Zry), an experiment intended solely to determine this property was designed and executed.

The method used to measure  $D_0$  was suggested by the previously-observed formation of U-metal precipitates within UO<sub>2</sub> after cooling of crucible-based test specimens used to study fuel dissolution in molten Zry [4,9]. The origin of these precipitates can be explained as follows. In addition to fuel dissolution that occurs when UO<sub>2</sub> is contacted by molten Zry, oxygen from the remaining solid diffuses into the melt, causing local reduction of UO<sub>2</sub> to

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 $UO_{2-x}$ . This process creates a gradient in the hypostoichiometry parameter, *x*, across the undissolved  $UO_{2-x}$  fuel. During subsequent cooling, disproportionation of the single-phase  $UO_{2-x}$  occurs according to

$$UO_2 \rightarrow \left(\frac{2-x}{2}\right) UO_2 + \frac{x}{2} U$$
 (1)

giving stoichiometric  $UO_2$  and exsolved U metal that appears as a precipitate phase. Thus, if the  $UO_2$  has been heated isothermally in contact with molten Zry for a known time, the concentration gradient of U-metal precipitates across the cooled sample can be used to derive  $D_0$ for hypostoichiometric urania.

# 2. Experimental

The oxide diffusion specimens were 20.5 mm tall, 14.2 mm O.D., 6.1 mm I.D. cylinders of  $UO_2$  that had been fabricated from 97% dense fuel pellets. Before use, the top and bottom faces of each cylinder were ground and polished to a 6  $\mu$ m finish. Cylindrical Zry4 pieces were machined from Teledyne Wall Chang bar stock to fit inside the  $UO_2$  cylinders. The height of each metal insert was 10% less than that of the  $UO_2$  cylinders to allow for expansion upon melting of the metal. The function of the Zry was, when melted, to reduce the O/U ratio of the oxide cylinder to the lower phase boundary of urania. This produces the oxygen concentration driving force that causes diffusional transport and sets up the O/U gradient that is measured at the end of the test.

Each experiment was performed inside a 16 mm I.D., 17.5 mm O.D., 22.5 mm tall thoria crucible to contain any melt leakage. The assembly configuration is shown in Fig. 1. A UO<sub>2</sub> cylinder containing a Zry charge was placed on a 14.2 mm diameter, 2 mm thick disk of high density yttria inside the thoria crucible. Yttria was chosen as the baseplate material because of its inertness in contact with molten Zry. Tile mating yttria and UO<sub>2</sub> bottom-wall surfaces had also been previously polished to 6  $\mu$ m finishes to prevent molten metal leakage during the experiment.

Another  $UO_2$  cylinder was placed on top of the first cylinder to perform the following functions: (i) to create an

| Table 1      |            |
|--------------|------------|
| Experimental | parameters |

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Fig. 1. Schematic diagram of  $UO_2$  /molten Zry diffusion couple assembly.

effective black body cavity for pyrometer measurement of the Zry temperature during the experiment; (ii) to shield the Zry charge from direct exposure to the furnace element; (iii) to prevent any melt from flowing over the top of the first cylinder; and (iv) to increase the load on the yttria baseplate, thereby minimizing any melt seepage. Finally, tile entire assembly was positioned in the hot zone of a Centorr tungsten resistance furnace coupled to a programmable controller via a type C thermocouple.

After evacuating and backfilling with ultra-high-purity argon, the furnace was ramped to 1000°C over 1 h. At this time, a previously-calibrated dual-wavelength pyrometer was focused on the top of the Zry charge through a quartz glass window in the furnace roof. The temperature recorded by this pyrometer was assumed to be the same as the  $UO_2$ temperature. A similar pyrometer, focused on the lip of the thoria crucible through a quartz glass window in the furnace sidewall, was used to check the furnace temperature. The outputs from both pyrometers were fed into a computer-based data acquisition system and recorded at 1 s intervals.

After the hold at 1000°C, the assembly was heated to 1500°C over 5 min and then ramped to the test temperature

| Experiment no. | Target time (s) and temperature (°C) | Total time molten (s) | Main part of isotherm |
|----------------|--------------------------------------|-----------------------|-----------------------|
| 5              | 180, 2000                            | 190                   | 2000 – 16°C for 150 s |
| 6              | 180, 2000                            | 192                   | 1998 – 12°C for 159 s |
| 7              | 120, 2000                            | 152                   | 2003 – 17°C for 106 s |
| 8              | 120, 2000                            | 138                   | 2007 – 13°C for 92 s  |
| 10             | 300, 2000                            | 336                   | 2003 – 10°C for 277 s |
| 11             | 300, 2000                            | 321                   | 2006 – 10°C for 277 s |
| 12             | 100, 2100                            | 123                   | 2089 – 29°C for 77 s  |
| 15             | 150, 2100                            | 187                   | 2100 – 14°C for 121 s |
| 16             | 200, 2100                            | 276                   | 2100 – 16°C for 216 s |



Fig. 2. Time/temperature trace for Test 6 specimen.

(2000 or 2100°C) at the maximum rate achievable. Typically, this rate was ~9°C/s over most of the ramp range, falling as the set point was approached. The specimen was held at the target temperature for the desired time (300 s at 2000°C or 200 s at 2100°C) and then cooled rapidly (5°C/s) to 1500°C, followed by cooling to room temperature over 1 h.

The parameter for the nine experiments performed are summarized in Table 1. Fig. 2 shows a representative time/temperature trace for the Test 6 sample. Because O diffusion occurs at all temperatures where  $UO_2$  is in contact with the melt, the time intervals between Zry melting and attainment of the isotherm and between the start of cooling and melt freezing are important. The times at which melting and freezing occurred were clearly visible as discontinuities (caused by thermal arrests and/or emissivity changes) in the heating and cooling sections, respectively, in each time/temperature trace (see Fig. 2). Hence, the 'Total time molten' listed in Table 1 refers to the elapsed time between melting and freezing, while the 'Main part of isotherm' is included to indicate the degree of temperature control achieved during the 'soak' period.

### 3. Scanning electron microscopy and image analysis

After retrieval from the furnace and removal of the upper UO<sub>2</sub> cylinder and yttria baseplate, each UO<sub>2</sub>/Zry diffusion couple was sectioned along the longitudinal mid-plane with a diamond wafer saw. The sections were ground and polished to 1  $\mu$ m finishes and then carbon coated in preparation for scanning electron microscope (SEM) examination, using a JEOL JSM-6300V instrument. Image analyses were performed with Link-Isis-IMquant software on backscattered electron micrographs to make use of the atomic number contrast between the various phases present in each sample.



Fig. 3. Backscattered electron micrograph of the melt/crucible interface from test 6 showing: two-phase melt (M); transition zone (F); U-free zone (Z); and U-metal precipitates + associated voids in the remaining  $UO_2$  regions (U).

Fig. 3 illustrates a typical melt/UO<sub>2</sub> interface at the midpoint of the right-hand cylinder wall in the Test 6 specimen. The micrograph shows similar features to those described previously in specimens from UO2/molten Zry dissolution tests at 2000–2100°C [4,9]. The melt region consists of a two-phase assemblage of  $(U, Zi)O_{2-r}$  and  $\alpha$ -Zr(O). A thin 'transition zone' occurs at the melt/cylinder interface. Adjacent to the transition zone is a  $\sim 150$ µm wide zone in which the U-metal precipitates and their associated pores are almost completely absent (the 'U-free zone'). The remaining regions in the sample contain the U-metal precipitates, which had formed generally at UO<sub>2</sub> grain boundaries. The precipitates seen as white spots in Fig. 3, show good tone contrast with the  $UO_2$  matrix (gray) and voids (black), making them ideal for measurement of the U-metal distribution by image analysis.

The image analyses were performed as follows. With the SEM magnification set at  $\times 300$ , separate traverses were made across the left-hand and right-hand cylinder walls of the oxide crucible at approximately mid-height. Each traverse started at the point where U precipitates began to occur in the UO<sub>2</sub>-matrix and finished at the outer edge of the cylinder wall. Care was taken to ensure that there was no overlap in the fields of view during this process. For each field of view, the SEM magnification was increased to  $\times 600$  and a digital micrograph was recorded. The higher magnification was used to give better resolution of the U precipitates in terms of image pixels, even though this meant that only the central 25% of each  $\times 300$  field of view was sampled. Finally, the volume percent U and the number of U precipitates in each micrograph as were determined as functions of the distance between the melt centerline and the mid-point of each  $\times 600$  image.

The image analyzer provides data on the areal number density of precipitate particles and the area fraction of the intersected spheres on the plane of the section. These are converted to the stoichiometry-deviation parameter x in  $UO_{2-x}$  as shown in Appendix A. Thus the information available for determination of  $D_0$  consists of the stoichiometry profile x(r) at a known time t of contact between the molten Zry and the oxide crucible.

## 4. Calculation of oxygen diffusion coefficients

Most studies dealing with the chemical diffusivity of oxygen in UO<sub>2±x</sub> have found the diffusivity to be independent of x [8,10]. However, from oxidation/reduction experiments on (U, Pu)O<sub>2±x</sub> at temperatures < 1000°C, Bayoglu and Lorenzelli [11] have demonstrated a distinct *x*-dependence close to exact stoichiometry. In their 'recalculated' results, the oxygen diffusion coefficient  $D_0$  decreases by a factor of ~ 2 in the range 0 < x < 0.01, but is nearly constant for larger x. Since the range of stoichiometry deviation in the specimens tested in the present

study is from x = 0 to x = 0.20, the increase in  $D_0$  very close to exact stoichiometry should not significantly affect data interpretation. With the assumption of a composition-independent oxygen diffusivity and a predominantly radial oxygen flux, the system was analyzed using the one-dimensional cylindrical diffusion equation:

$$\frac{\partial x}{\partial t} = \frac{D_0}{r} \frac{\partial}{\partial r} \left( r \frac{\partial x}{\partial r} \right). \tag{2}$$

The initial condition corresponds to stoichiometric UO<sub>2</sub>:

$$x = 0 \quad \text{at } t = 0. \tag{3}$$

The boundary conditions are

$$x = x_0 \quad \text{at } r = a, \tag{4}$$

$$(\partial x/\partial r) = 0$$
 at  $r = b$ , (5)

where *a* and *b* are the inner and outer radii of the  $UO_2$  crucible, respectively. The ratio of *b* to *a* in the present design is 2.36.

Eq. (5) represents the no-flux condition at the crucible outer surface. Eq. (4) assumes that the stoichiometry of the oxide at the inner surface is that of the lower phase boundary of pure urania at the temperature of the anneal.

The complications of the moving boundary at r = ahave been ignored in the analysis. The significance of the moving-boundary effect was approximately assessed by solving the diffusion equation in a semi-infinite slab with the spatial coordinate z fixed on the moving boundary. This motion induces a convective term  $v\partial x/\partial z$  into Fick's second law, where v is the boundary velocity. The analytical solution to this problem is possible if  $v = k/t^{1/2}$  (see Eqs. (42)-(46) of Ref. [12]). For the present application, the parameter k was estimated from the measured change in the thickness of the crucible wall during the diffusion anneals and the formula:  $\Delta$ (thickness) =  $2kt^{1/2}$ . At 2000°C, wall thinning is ~5% of the initial thickness while at 2100°C, it is ~ 13%. For typical anneal times, the error in the concentration distribution incurred by ignoring the moving boundary effect is ~ 5%, which is well within the accuracy of the measurements.

The precipitate-free zone at the inner surface of the crucible was ignored in the fitting. The absence of U metal precipitates in this zone does not mean that the oxide was not reduced here. Rather, it means that the presence of zirconium stabilizes the defect structure of the oxide so that it does not convert to a stoichiometric oxide upon cooling. In addition, the data points nearest this zone were generally aberrant and were ignored. Similarly, the outermost points (near r = b) often were too low because the SEM window fell off the edge of the sample, and these points were also ignored in data fitting. Points which were obviously far away from the general trend of the remainder of the data were also neglected.

Fitting was done by selecting values of  $D_0$  and  $x_0$  that best fit the data. Because of the way that these parameters

enter the theory, fitting was sequential. The diffusivity  $D_0$  controls the shape of the profile (i.e., small  $D_0$  gives a steep gradient; large  $D_0$  tends to give a flat profile). The

lower-phase-boundary oxygen concentration represented by  $x_0$  controls the scale of the distribution. Thus, fitting proceeded as follows: For each experiment, a value of  $D_0$ 



Fig. 4. Fitting of diffusion theory (curve) to the data at 2000°C.

Table 2 Fitting the theory to experiment at 2000°C

| •         | • •      |                                     |       |       |
|-----------|----------|-------------------------------------|-------|-------|
| Expt. no. | Time (s) | $D_0 \times 10^8 ({ m m}^2/{ m s})$ | $x_0$ | Error |
| 5         | 190      | 3.0                                 | 0.20  | 0.09  |
| 6         | 190      | 3.5                                 | 0.18  | 0.09  |
| 7         | 150      | 4.0                                 | 0.18  | 0.09  |
| 8         | 140      | 4.0                                 | 0.17  | 0.08  |
| 10        | 330      | 2.5                                 | 0.20  | 0.08  |
| 11        | 320      | 3.0                                 | 0.19  | 0.13  |
|           |          |                                     |       |       |

was assumed, the diffusion equation was solved numerically, and then the value of  $x_0$  that gave the smallest deviation between the theoretical values and the data was determined. (Both the "left-side" and "right-side" data were used in fitting.) This procedure was repeated for a number of values of  $D_0$  and the deviation from the data noted. The value of  $D_0$  (and its associated  $x_0$  value) that gave the smallest error measure was taken as the best fit for this experiment. Table 2 shows the best fits for the six experiments at 2000°C.

There is remarkably good consistency between all six experiments at this temperature with regard to the best-fitting values of the diffusion coefficient and the lower phase boundary composition. At 2000°C, these are

$$D = (3.3 \pm 0.5) \times 10^{-8} \text{ m}^2/\text{s}$$
 and  $x_0 = 0.18 \pm 0.02$ .

The lower phase boundary estimated from the data is within the range of accepted values [13].

At 2100°C, the data from run No. 12 could not be fitted to the theory, even discarding obviously errant points. The fact that the temperature continually increased during this experiment may have contributed, but the shape of the oxygen deficit distribution is opposite what one would have expected from the imposed temperature transient. The remaining two experiments at this temperature were not as easily fit as those at 2000°C. The contact times used in the numerical analysis were not those given in Table 1 for these experiments. Rather, they were simply approximated from the time-temperature traces. A range of  $D_0$  and  $x_0$ values produced approximately the same error, so distinct values of these parameters were harder to select than was the case at 2000°C. Table 3 shows the results for 2100°C.

The agreement of the fits for the two anneal times is satisfactory, and gives the following (approximate) diffu-

Table 3 Fitting the theory to experiment at 2100°C

| Expt. no. | Time (s) | $D_0 \times 10^8 ({\rm m}^2/{\rm s})$ | <i>x</i> <sub>0</sub> | Error |
|-----------|----------|---------------------------------------|-----------------------|-------|
| 15        | 150      | 3-4                                   | 0.29-0.36             | 0.10  |
| 16        | 230      | 4-6                                   | 0.21 - 0.27           | 0.06  |



Fig. 5. Fitting of diffusion theory (curve) to the data at 2100°C. The data for Test 12 were not used in the fitting procedure.

sivities and lower phase boundary concentrations at 2100°C:

 $D = (3.6 \pm 0.8) \times 10^{-8} \text{ m}^2/\text{s}$  and  $x_0 = 0.29 \pm 0.03$ .

## Oxygen diffusion coefficient in UO<sub>2-x</sub>



Fig. 6. Comparison of experimental oxygen diffusivities with prior estimates (Refs. [1,8]). The line for Ref. [8] represents an extrapolation from the temperature range 1200–1550°C.

Figs. 4 and 5 show the theoretical curves drawn through the data using the parameters given above for the two temperatures. The fits at 2000°C are quite satisfactory, with perhaps a slight net overestimate of the data in Experiment No. 10. The upper in Fig. 5 shows the data for Experiment No. 12 and the theoretical curve based on the parameters determined from the fitting of Experiments No. 15 and 16. For this curve, the equivalent time at 2100°C was taken to be 85 s

Fig. 6 compares the experimental results with the predictions of Olander [8] and Veshchunov and Hofmann [1]. The present data are greater than both, but closer to the latter than to the former.

# Appendix A. Conversion of image-analyzer data to oxygen deficiency

Determination of the oxygen diffusivity in  $UO_{2-x}$  requires that the O/U ratio, or the stoichiometry deficit x, be known as a function of radial position. First, x is expressed in terms of the volume fraction v of metallic uranium in the cooled specimens produced by Eq. (1) of the text.

Starting with one mole of  $UO_{2-x}$ , the volumes of  $UO_2$ and U produced by the disproportionation reaction are

$$V_{\text{ox}} = \left(1 - \frac{x}{2}\right) \div 0.041 \frac{\text{moles UO}_2}{\text{cm}^3} = 24.4 \left(1 - \frac{x}{2}\right) \text{ cm}^3,$$
$$V_{\text{U}} = \frac{x}{2} \div 0.080 \frac{\text{moles U}}{\text{cm}^3} = 6.25 x \text{ cm}^3.$$

Because x is small compared to unity, the volume fraction of metal is approximately the ratio of  $V_{\rm U}$  to  $V_{\rm ox}$ , or

$$v = \frac{6.25}{24.4}x$$
 or  $x = 3.9v$ . (A.1)

The next step is to convert the metal area fraction and areal density data produced by the image analyzer to the volume fraction for use in Eq. (A.1). When cut by a plane, a random array of N spheres per unit volume each of radius R produces circles that are on average spaced apart by a distance [14]

$$L = \frac{1}{\sqrt{2 \, RN}} \, .$$

Instead of the separation distance L, the areal number density on the plane n is directly measured by the image analyzer:

$$n = \frac{1}{L_2} = 2 RN.$$
 (A.2)

The plane does not cut all of the spheres at the equator, but the average radius of the circular intersections can be shown to be

$$r = \frac{\pi}{4}R.$$
 (A.3)

The area fraction of the intersected spheres on the plane (which is measured by the image analyzer) is

$$a = \pi r^2 n. \tag{A.4}$$

Substituting Eqs. (A.2) and (A.3) into Eq. (A.4) yields

$$a = \frac{\pi^3}{8} R^3 N. \tag{A.5}$$

The volume fraction of metallic uranium is

$$v = \frac{4}{3}\pi R^3 N. \tag{A.8}$$

When combined, the above two equations yield v = 1.08 a, which is close to the commonly-employed relation v = a. The measured values of the area fraction directly give the volume fraction for use in Eq. (A.1) top give the stoichiometry deviation (x) at each point.

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